1	N THE UNITED STATES	DISTh F COURT
2	FOR THE WESTERN DISTR	RICT OF OKLAHOMA
3	(1) UNITHERM FOOD SYSTEMS, INC., an Illinois corporation; and (2) JENNIE-O FOODS, INC., a	) )
4	(2) JENNIE-O FOODS, INC., a Minnesota corporation,	) )
5	Plaintiffs,	) )
6	-vs-	) ) No. CIV-01-347-C
7 8	(1) SWIFT-ECKRICH, INC. d.b.a ARMOUR SWIFT-ECKRICH, a Delaware corporation,	) ) )
9	Defendant.	
10	Defendant.	)
11		•
12		
13	VIDEOTAPED DEPOSITION (	OF DAVID HOWARD
14	TAKEN ON BEHALF OF T	HE DEFENDANTS
15		
16	IN OKLAHOMA CITY,	, OKLAHOMA
17	ON NOVEMBER	8 2001
18		0, 2001
19	·	
20		
21		
22	CITY REPORTED	PO INO
23	CITY REPORTER 117 PARK AVENUE, OKLAHOMA CITY, OKL	FIRST FLOOR AHOMA 73102
24	(405) 235-3	376
a	REPORTED BY: Lori A Lo	haston CSP PPP

1	Α.	. JS.
2	Q	And when was that?
3	А	Again, I first wrote to Hudson Foods, I believe, in 19
4	1994-199	95, telling them that we had a process for rapidly smoking
5	and brow	ning turkey products, that the shrink would be one percent,
6	et cetera.	And indeed, that letter again is amongst the 5,000
7	documen	ts that you have. So perhaps 1994-1995 is the first time
8	Hudson F	oods are told of Unitherm. And it it may have included a
9	video. I d	can't recall if the letter says and we enclose a video.
10	a	Now, the my question was when did you disclose the
11	process to	Hudson Foods?
12	A	In detail, when they visited Unitherm.
13	Q	Which was when?
14	A	Perhaps 1996, I believe. Late 1996.
15	Q	And then you sold them a RAPIDFLOW oven; is that
16	correct?	
17	Α	I sold them a process, including a RAPIDFLOW oven.
18	Q	Now, did Hudson Foods ever agree that they would keep
19	that proce	ess a secret?
20	Α	We when they came to Unitherm, each and every person
21	that visits	Unitherm is told that there are certain trade secrets that
22	you will d	iscover in our facility, and we we have expectations that
23	you will k	eep those as Unitherm's secrets.
24	Q	Now, this is a is something that was said to Hudson
25	Foods?	

1	A	. Yes.
2	Q	This was oral and not in writing?
3	A	Well, at the time we also had confidentiality agreements
4	that red	quired signing. I don't recall whether Hudson Foods signed
5	them o	r not or whether we still have them or not.
6	a	Do you know whether they signed such an agreement?
7	А	It's my expectation they would have, but I I don't know
8	off the	top of my head.
9 ·	a	You really don't know?
10	Α	Jerry Adams was the salesman who handled their account
11	in the i	nitial stages. It would have been his responsibility to make
12	sure th	at they did.
13	a	But whether he did that or not, you don't know?
14	A	l don't know.
15	a	All right. And if there was any oral agreement with
16	Hudson	Foods, you can't tell us what it was?
17	A	Yes. If there's an oral agreement, it's not made by Jerry
18	Adams	It's made by me.
19	a	Well, was there an oral agreement?
20	Α	Yes, there was.
21	a	There was an oral agreement between you and Hudson
22	Foods?	•
23	А	As I introduced Unitherm to all visitors that came to the
24	facility,	they were brought to the boardroom. They were given a
25	short hi	story of Unitherm. And then they were told in that speech

1	that Unith	nerm was an innovative company and that there are trade
2	secrets th	nat are specifically ours and we ask them to respect that.
3	a	And do you remember saying that to to Hudson Foods?
4	А	That's correct. Yes.
5	a	And who did you say it to at Hudson Foods?
6	А	I believe present at the time was a gentleman called
7	Andrew C	Cobb.
8	۵	I'm sorry? Could you
9	А	Andrew Cobb.
10	Q	What how how's how do you spell the last name?
11	А	C-o-b-b.
12	a	C-o-b-b?
13	А	Uh-huh.
14	a	So you told Mr. Cobb that you wanted him to keep your
15	trade secr	rets?
16	Α	I told I told the Hudson Food party that was there. I
17	think there	e was about four people there.
18	a	And what else did you tell them with regard to keeping
19	things sec	ret other than you wanted them to keep your trade secrets
20	a secret?	·
21	Α	I I don't recall anything else.
22	Q	You don't recall anything else
23	Α	No.
24	Q	at all about that agreement?
25	Α	No.

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1	Ω.	Did you identify for them what these trade secrets were?
2	Α	I don't recall if I did or did not.
3	a	Was there any time limit on this agreement?
4	A	When I asked them to keep a Unitherm secret or any
5	secret the	ey pick up, it's my expectation it's forever.
6	Q	So it was your expectation that Uni that Hudson Foods
7	would kee	ep as a secret forever some things that they learned from
8	Unitherm?	•
9	А	Yes.
10	Q	And you didn't identify for them what it was that they
11	were to k	eep a secret forever?
12	А	I don't recall if I identified it or not.
13	a	And there's nothing in writing to evidence this?
14	А	I don't recall if they signed confidentiality agreements.
15	They may	well have done.
16	a	Now, you said that between '93 and '97, Unitherm and
17	Red Arrow	made presentations to about 20 potential customers; is
18	that right?	
19	Ā	No. I said we made one formal presentation to Armour
20	Swift-Ecki	ich, and the rest of the time, we demonstrated the process.
21	a	All right. You made 20 demonstrations then; is that
22	correct?	•
23	Α	That's a fair comment. Yes.
24	Q	Now, in the course of those demonstrations, the the
25	companies	that you were demonstrating the process to learned what

1	the proces	ss was; is that right?
2	A	Yes. They would have.
3	a	And the demonstrations were made to their people who
4	were tech	nically oriented, either production people or research
5	people; is	that right?
6	Α	Yes.
7	a	So they were certainly fully capable of understanding this
8	process?	
9	Α	Yes.
10.	a	Now, did those 20 companies sign agreements to keep
11	that proce	ess confidential?
12	Α	I believe that they did sign confidentiality agreements.
13	a	All of them?
14	Α	I I believe so.
15	a	And did you keep copies of those agreements?
16	A	Well, we did keep copies of them. I am un unaware of
17	where tho	se records are today.
18	a	Have you among these what you call 5,000 documents
19	that you'v	re produced, are those agreements included?
20	Α	There are some confidentiality agreements signed that are
21	in those d	ocuments. Yes. And there are some that are, I believe, are
22	missing.	• •
23	Q	And why are they missing?
24	Α	Well, I believe that when we moved premises from
	Chicago to	Oklahoma, that they were mislaid

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<b>Q</b> -	Do you have a list any	where of the	companies	who	signed
these agre	ements?				

- A I do not.
- Q So would it be fair to say that as of today, you -- you don't know who has signed these agreements and who has not?
  - A No.
  - Q That would not be fair to say or you don't know?

A Well, since I was there at all the presentations, I would be very much aware that those people would have been given a confidentiality agreement to sign. And you know, we have one confidentiality agreement that exists from that period, from 1993-'94, that -- that era when we were in Chicago. And it's one that was signed with another salesman that had worked for us, a gentleman called Bobby Cox, and one of his clients. And it didn't involve the process. And I believe that all of the confidentiality agreements that were signed in regard to visiting and looking at the RAPIDFLOW kept separate from that. And we have mislaid them.

Q So there would be approximately -- well, let me tell you, in going through the documents, we haven't found any confidentiality agreements that are dated earlier than 1997. So the conclusion I am drawing from your testimony here is that there are at least 20 of these agreements that you no longer have; is that correct?

- A That's correct.
- Q But you believe that these agreements at one time existed?

1	Α.	res. Absolutely.
2	a	Did you have an agreement like that with Hudson?
3	A	When Hudson visited Unitherm?
4	a	Yes.
5	. А	It would be my expectation that they would have signed a
6	confident	iality agreement and that Jerry Adams would have taken
7	care of th	at.
8	a	Did you attend the Hudson deposition?
9	Α	I did not. No.
10	۵	Do you know that Hudson said that they don't have a
11	confident	iality agreement with Unitherm?
12	А	I did not.
13	Q	Well, if they said that, are they lying?
14	Α	I don't know.
15	Q	How about Allen Johns? You disclosed the process to
16	them, did	n't you?
17	Α	We did, yes.
18	a	In New Jersey?
19	Α	We did, yes.
20	a	So they know what that process is; correct?
21	A	Yes.
22	a	Did they sign a confidentiality agreement?
23	Α	I don't know.
24	a	Now, when was that disclosure made?
25	Α	I believe it may have been whilst they were in the UK. Or

and browning process. They did not come to look at anything else at 1 Unitherm. And it was my view at that time that it was to cover that 2 process. 3 Q Now, isn't it true that that agreement that was signed in '98 was to cover anything new that they learned at the time of their 5 visit to Unitherm in 1998, and not things that they had already known 6 for five years? 7 Α No. 8 So you believe that the '99 -- that the 1998 agreement 9 required Swift-Eckrich to keep secret things that they had learned in 10 '93; is that correct? 11 Α Yes. When I told Chris and Prem before they arrived that I 12 would expect them to sign a confidentiality agreement for this whole 13 process, then that's what I expected. 14 Now, if Swift-Eckrich had learned this information in '93' 15 and wasn't under any obligation of confidentiality until '98, wouldn't 16 you expect that there would be a lot of people who would have 17 18 learned of this from Swift-Eckrich during that five-year period? Α I never said they weren't under any obligation. 19 Q 20 But if they weren't, then there was no way to put the toothpaste back in the tube, was there? 21 In my view, they were under obligation. Α 22 Q And that's because you assume there was an agreement, 23 but you're not sure there was an agreement and you don't have a 24 copy; right? 25

1	Α ·	I'm pretty sure there was an agreement. I don't have a
2	copy of it.	
3	Q	Now, when you met with Swift-Eckrich, did you agree that
4	you would	keep secret information that they had?
5	Α	When?
6	Q	At any time?
7	Α	I believe that I signed a confidentiality agreement of theirs
8	at one poir	nt.
9	Q	And when was that? Do you recall?
10	Α	I don't=recall.
11	a	Do you recall the circumstances?
12	Α	I believe when I visited their premises in Downers Greve at
13	one point.	
14	Q	Do you do you have any idea what the date was?
15	Α	No, I don't.
16	Q	How many times have you been to their premises in
17	Downers (	Grove?
18	A	I guess perhaps 20, 25 times.
19	a	You were first there in '93?
20	Α	I don't think I visited their premises in '93 at all.
21	a	When when were you there for the first time?
22	Α	I believe the first time I visited their_premises was in 1995.
23	a	Was that when you signed the agreement to keep
24	confidentia	al information that they had?
25	Ι Δ	I can't recall.

And did they see the process carried out?

Q

25

1	that the b	enefit would be solely Thorn Apple Valley's.
2	a	Now, you said that there was an understanding with Thorn
3	Apple Val	ley processes would be kept secret; right?
4	Α	Well, I had a relationship with the boss of that company.
5	a	And that was
6	Α	And
7	· a	Mickelberg?
8	Α	And and when he gave me his word and said this is a
9	process be	etween us, sir, it's kept, I accepted his word.
10	a	Now, is it your recollection that Mr. Mickelberg personally
11	promised	you that Thorn Apple Valley would keep your process a
12	secret?	•
13	Α	All of my processes secret. Yes.
14	a	That's not my question. My question is
15	Α	This particular one, Mr. Mickelberg was no longer with
16	Thorn App	ole Valley when the purchase was made.
17	a	Did Mr. Mickelberg ever promise that the process would be
L8	kept a sec	ret?
19	Α	This specific process?
20	a	Yes.
21	Α	I have no recollection of that.
22	a	Did anybody else for Thorn Apple Valley promise that this
23	process w	ould be kept a secret?
24	Α	I'm not sure. And the reason why I'm not sure is there
>5	was a con	versation by which Unitherm would not reveal to a second

1	Α -	He was, I think his title was vice president of purchasing.
2	a	And do you know where Mr. Weiss is today?
3	Α	I don't know where he is today. I can probably find out
4	where he	is.
5	Q	Now, did you ever have any discussions with
6	Mr. Micke	lberg about information being kept secret?
7	Α	In in general in regard to Unitherm equipment?
8	Q	Yes.
9	Α	Yes.
10	a	And did that cover the process in question?
11	Α	Yes. It would have covered all things that were innovative
12	from Unith	nerm. He would have had a vested interest in keeping it
13	secret	
14	a	I'm
15	Α	as would we.
16	a	I'm not asking if he had a vested interest. I'm asking
17	Α	Fair enough.
18	a	if he agreed to keep it secret?
19	Α	Yes.
20	a	So Mr. Mickelberg did, according to your recollection,
21	agree to k	eep information about this process secret when he was at
22	Thorn App	ole Valley?
23	Α	I believe in his deposition he did say that Unitherm did
24	reveal se	or he did keep Unitherm secrets confidential.
:		I'm not caking you if he kept them secret

	1	
1	Α -	Okay.
2	a	I'm asking if he promised to keep them secret?
3	Α	Yes, he did.
4	a.	He did promise that?
5	A	Yes.
6	a	But didn't you hear him say in his deposition that he didn't
7	promise t	o keep them secret?
8	А	No. I heard him say he didn't sign a confidentiality
9	agreemen	t.
10	a	Now, you dealt with Mr. Mickelberg when he was at Thorr
11	Apple Val	ley and then again when he was at Swift-Eckrich; correct?
12	А	Yes.
13	Q	Did you ever have any other business dealings with
14	Mr. Micke	elberg?
15	Α	After he left Armour Swift-Eckrich.
16	Q	Yes?
17	Α	I want to make sure I get the sequence correct here. I
18	don't kno	w that after he left Armour Swift-Eckrich is the right phrase.
19	He when he wasn't working at the Downers Grove facility, he was	
20	in charge of some operations in Europe. And there was one of those	
21	facilities that was perhaps 20 miles from Unitherm's UK operation.	
22	And we d	id sell a RAPIDFLOW oven to him for that facility.
23	a	Any other business
24	Α	Yes.
25	Q	with Mr. Mickelberg?

1	Α -	When he finished with Conagra, he approached me to
2	consult	all right. Sorry. He approached me to see if I knew
3	anybody t	hat he could consult for in the United Kingdom or in Europe
4	generally.	We took that opportunity to put him on a a retainer and
5	consult fo	r Unitherm.
6	a	And how long did that arrangement last?
7	А	I believe it lasted perhaps 14 or 15 months.
8	a	And that was over what period of time?
9	А	It was from sometime in 1999, I think, up to 2000-
10	something	ļ <b>.</b>
11	a	And he was paid a monthly retainer; is that right?
12	A	He was paid on a monthly basis.
13	a	And what was the pay?
14	Α	I think the sum agreed was \$1,500.
15	a	Any other business relationships with Mr. Mickelberg?
16	Α	No.
17	Q	Was Mr. Mickelberg ever paid a sales commission?
18	A	No.
19	a	Finder's fees?
20	. А	No.
21	a	Anything other than this \$1,500 per month?
22	Α	Absolutely not.
23	à	And that did not begin until 1999?
24	Α	That's correct.
25	ا م	Mr. Mickel-, did Mr. Mickelh ra ever have an interest in

1	<b>Q</b> .	பர, all right.
2	Α	You asked me what products did I cook, and I told you
3	typical sa	usage. And you asked me did I put smoke on the products
4	that I coo	ked. Well, I didn't cook any of the products that I smoked
5	or brown	ed to sell the oven for. They're already cooked.
6	a	All right. When you had the RAPIDFLOW oven at trade
7	shows be	tween '93 and '99, did you, at those trade shows,
8	demonstr	ate the use of the RAPIDFLOW oven in a process that used
9	liquid smo	oke?
10	A	I believe, on one occasion, we may have done.
11	a	What occasion was that?
12	Α	It was at a poultry show in I think 1997. I'm not 100
13	percent s	ure of that.
14	a	Could you tell us how how we could identify that show
15	by the na	me of the organization or whatever?
16	· A	It was the poultry show. The Georgia World Congress
17	Poultry Ex	chibition, I think it's called.
18	Q	And that was is that in Atlanta?
19	Ā	It's in Atlanta. Yes.
20	a	And you had a Uni a RAPIDFLOW oven there?
21	Α	Yes.
22	a	All right. And how was that enclosed in some sort of a
23	booth or v	vas it out in the open, what?
24	Α	No. It was pretty open.
25	Q	And and you demonstrated the use of the oven with

. 1	liquid smo	ka?
1	•	We had planned to do demonstrations. The client that we
2	A	
3		supply us the product shipped the product to us in dry ice.
4	So when t	the exhibition started, the product was frozen, so we were
5	unable to	run the demonstrations. I do know that, I believe towards
6	the end of	that exhibition, we did run that product for a particular
7	client who	wanted to see it. I believe that that was possible that we
8	did that.	
9	a	And you did this at the trade show?
10	Α	I believe so.
11	a	So although it was done for a particular client, it was
12	available t	to be observed by other potential customers?
13	A	I'm not sure. I I think that the salesman that was
14	involved a	it the time, as I understand it, we asked the client to come
15	back after	the trade show had finished.
16	Q	And who was the client?
17	Α	I don't recall.
18	۵	Did that client sign any kind of confidentiality agreement?
19	Α	I don't recall.
20	a	So you may or may not have disclosed the process to this
21	unknown	party without a confidentiality agreement?
22	A	Yes.
23	a	Now, you took the RAPIDFLOW oven to six to eight trade
24	shows du	ring this '93 to '99 period; correct?
25	Α	Uh-huh.

1	O.	And during that time period, 98 percent of the sales were
2	with liqui	d smoke?
3	А	Yes.
4	Q	But with the exception of this one instance that you've
5	told us al	pout
6	Α	Uh-huh.
7	Q	you never demonstrated the oven with liquid smoke at
8	those tra	de shows; correct?
9	Α	I think that's correct. Yes.
10	Q	Can you explain why it is that you didn't demonstrate the
11	oven for	the purpose for which it was used 98 percent of the time?
12	А	Well, the cost to have the oven operational at the show is
13	probably	perhaps \$20,000. So on most of the occasions when we
14	took it to	exhibitions, we did not pay to have the elec the electric
15	connecte	d up to it, the extraction system run, so while the machine
16	was at th	e exhibition, it was not running.
17	Q	But there were instances in which it was running; right?
18	Α	Certainly in in January 1992, at our first trade show in
19	Atlanta, i	t was running. I believe there was one other exhibition in
20	Atlanta a	t the same poultry show in 1998 where the machine was
21	running.	And there may have been one in McCormick Place on one
22	other occ	asion when it was running.
23	a	So there were two or three instances in which the machine
24	was runn	ing at trade shows?
25	Α	Yes. Yes, there were.

1	<b>Q</b> .	So they were certainly there sometimes when you did
2	remove th	ne purge?
3	А	Yes, they were.
4	a	And so they knew about that purge removal operation;
5	right?	
6	Α	Yes, they did.
7	a	In fact, they knew exactly how you removed the purge;
8	isn't that	right?
9	Α	Yes.
10	a	And they were under no obligation to keep that a secret;
11	right?	
12	А	No. I'm sorry. I can't agree to that. Anyone that visited
13	Unitherm,	be it Red Arrow or Armour Swift-Eckrich or any client, we
14	told them	that there are secrets that are Unitherm's and we intend
15	we expec	t them to keep them secret.
16	a	Didn't you testify just a while ago that you had no
17	confidenti	ality agreement with Red Arrow?
18	Α	That's correct.
19	a	So Red Arrow was free to do what they wanted with that
20	informatio	on about the purge removal; isn't that right?
21	Α	No. Bob, it is my testimony here today that if I tell
22	somebody	something and ask them to keep it a secret, that that
23	doesn't re	elease them from their moral obligation of keeping it a
24	secret. Ju	st like when Armour Swift-Eckrich took the patent, all right,
25	just becau	use I didn't have a patent on it didn't mean they could go

1	and steal	lit. The fact is that the technology belongs to Unitherm. I
2	can't gov	vern the behavior of other people. All I can do is say this is
3	my secre	t, I'd res I would hope you would respect it and keep it a
4	secret.	
5	a	And that's what you're relying on here, this hope or
6	expectation	on without
7	Α	To
8	a	an agreement on the
9	Α	To some degree, because there were some agreements as
10	well.	
11	a	And that and you expect them to keep this a secret
12	forever or	n that basis; correct?
13	А	Yes, I would.
14	a	And did you ever specifically tell Red Arrow that you
15	regarded t	the purge removal as a secret?
16	Α	Yes, I did.
17	a	And did they agree to keep that secret?
18	Α	To my knowledge, they did. They never
19	ā	Well
20	Α	They never objected and said, oh, sorry, David, we're
21	going to w	valk out of your factory and tell other people exactly how
22	you achiev	ve these things.
23	Q	Did they ever say yes, David, we will keep purge removal
24	a secret?	·
25	Α	Yes.

1	Q They did?	
2	A Yes. And the process.	
3	Q Who said that on behalf of of	
4	A I believe that John Shoop and Ron Ratz agreed that	
5	Unitherm secrets were Unitherm secrets.	
6	THE VIDEOGRAPHER: Excuse me, Counsel. This is the	
7	end of Tape 2. We're off the record just briefly.	
8	(End of Tape 2, beginning of Tape 3 on the video record)	
9	(Lunch Break)	
10	THE VIDEOGRAPHER: Okay. We're back on the record.	
11	This begins Tape No. 3.	
12	Q (By Mr. Schroeder) Mr. Howard, we were talking about the	
13	trade secrets that are disclosed in the '027 patent that were not	
14	revealed at the trade shows. And one of those trade secrets was	
15	purge removal?	
16	A That's correct.	
17	Q Now, you've spoken of purge removal and you've also	
18	spoken of infrared purge removal. My first question in this regard is	
19	was it a secret, before the '027 patent issued, that it was	
20	advantageous to remove the purge from the meat before applying the	
21	liquid smoke?	
22	A In some cases, yes.	
23	Q What can you explain what you mean by "in some	
24	cases"?	
25	A The obviously, there are different methods of taking	

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      INC., an Illinois
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      (2) JENNIE-O-FOODS, INC., a )
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                                     No. CIV 01-347-C
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              DEPOSITION OF DAVID HOWARD, VOL. II
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                     CITY REPORTERS, INC.
               117 WEST PARK AVENUE, FIRST FLOOR
23
                OKLAHOMA CITY, OKLAHOMA 73102
                        (405) 235-3376
24
25
            REPORTED BY: KIMBERLY R. SULLIVAN, CSR
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)

1	A No.
2	Q Hudson Foods?
3	A Hudson Foods and Willow Brook are the same
4	company.
5	Q Now, do you feel this is a complete list
6	that we now have of the customers who have purchased
7	Rapidflow ovens in the United States?
8	A No. There is two lines sold when Proctor &
9	Schwartz were a distributor, and they were to one
10	was Hatfield.
11	Q Hatfield?
12	A Yes.
13	And there was another one in Pennsylvania,
14	Devault.
15	Q Could you spell that?
16	A D-e-v-a-u-l-t.
17	Q And do we now have a complete list, do you
18	think?
19	A I believe so.
20	Q Now, can you identify any of these customers
21	who have purchased a Rapidflow oven at a later date,
22	after having first purchased and used a Rapidflow
23	oven?
24	A I'm sorry. I don't quite follow your
25	question.
}	

you call your process was known only to companies and people to whom Unitherm had disclosed that process under obligations of confidentiality and secrecy?

A Well, in 1994, Unitherm sent out some news bulletins, if you like, or some PR material, saying that we had the process, and we had written to a large part of the turkey industry.

We had done that again in 19-- -- I'm not sure. I think it was 1996.

We issued some 500 videos that include snips of the process in it.

So I would believe that there would have been other people that knew that there was a process that could achieve all of the goals and objectives that are stated in the patent.

Q Well, I'm not asking you whether people knew that such a process existed. My question is whether they knew what the process was.

So my question is: In May of 1997, is it your belief that the only people or companies who actually knew what the process was were the people in the companies to whom Unitherm had disclosed that process under obligations of confidentiality and secrecy?

A I can't answer that question, other than to

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say that other people would have known parts of the 1 2 information of the process. None of them would have known everything on 3 the process, but they would have known parts. Certainly, bearing in mind that we are 5 making equipment and that we don't produce the 6 7 product that's smoked turkey. For us to take to retail -- for us to be 8 able to sell our equipment, we have to tell people 9 what the process is, what we're using it for. 10 11 So there would be people out there who would know a certain degree of the process. How much of it\* 12 it is, compared to being able to operate it, I can't 13 14 judge that. Well, let me put it to you this way, 15 Mr. Howard. In May of 1997, either your process was 16 17 a secret or it wasn't. 18 Which was it? Partially secret and partially not secret. 19 Α 20 Well, when you say "partially not secret," what were the parts of it that were secret? 21 22 Α In '97? 23 Q Yeah. May of '97. 24 The infrared purge removal system. That was certainly not commonly known --25

1	Q - Would this be fair to say, that you can't
2	identify anyone who knew how to carry out this
3	process in May of 1997, other than the people to whom
4	Unitherm had disclosed the process under pledges of
5	secrecy and confidentiality?
6	A I can't say that. I don't know for sure.
7	My memory isn't
8	Q Well, no. I'm asking you if you can
9	identify anyone.
10	A 1997.
11	Q May of '97.
12	A I'm not sure.
13	Q Well, I don't see how you can answer "I'm .
14	not sure."
15	I'm asking: Can you identify anyone that
16	A That knew that could have done this
17	process.
18	Q And wasn't under an obligation of secrecy to
19	Unitherm?
20	A I can't think of anybody off the top of my
21	head.
22	Q You don't know of anybody?
23	A Not off the top of my head today.
24	Q Have you given this question any thought?
25	A No.

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1	Q . Had the process ever been the subject of a
2	publication anywhere?
3	A In May of 1997?
4	Q Yes.
5	A There would, as I said earlier, been certain
6	amounts of information released, either by mail, news
7	bulletins, that type of thing, by video.
8	Up until then, if we advertised it, we may
9	have only advertised it once.
10	Q Well, I'm not asking you whether there were
11	publications that made known the fact that such a
12	process existed.
13	But my question is: Were there any .
14	publications that actually explained the process, so
15	that someone else would be able to use it?
16	A I can't remember.
17	Q You can't remember whether that existed or
18	not?
19	A No.
20	Q You don't know, at this point, of any such
21	publications? You can't identify any for us?
22	A Not off the top of my head here today.
23	Q So, as far as you know, it may have been
24	known in May of '97?
25	A It is possible.

1 do you? 2 No. I never do the scheduling for the Amanda Howard, my wife, she takes care exhibitions. 3 of all of the exhibitions and knows when to book them, et cetera. 5 Q So could your answers be different, based 6 upon talking with your wife, concerning the time 7 needed or advance time needed to schedule those 8 presentations? 9 10 Α It would. MR. CASTRO: And, for the record, 11 12 that's all I have, other than to state that, at the beginning of this deposition this morning, we made 13 14 good, I quess, on our promise yesterday concerning some communications dealing with Armour Swift 15 companies, and, this morning, we did produce three 16 17 different documents, and they're Bates stamped U-8092, 8093 and 8094. 18 That's all I have. 19 20 MR. SCHROEDER: No further questions. 21 THE REPORTER: Do you want to ask him 22 about reading and signing? 23 MR. CASTRO: Do you want to read and 24 sign --

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No.

THE WITNESS:

25